

Local Workforce Development Board Oversight Capacity Ratings

The Texas Workforce Commission's annual evaluation of each Local Workforce Development Board's (Board) oversight capacity. For each of the following six criteria, the Board is graded *Meets Standards* or *Below Standards*.

(1) Develops, maintains, and upgrades comprehensive fiscal management systems Meets Standards

- Does the Board have fiscal management systems in place that include appropriate fiscal controls?
- Does the Board, during its regularly scheduled meetings, include a quarterly review of the financial status of the Board and its service providers, for all funding sources it administers?
- Have single audits been free of material weaknesses?

(2) Hires, trains, and retains qualified staff to carry out Board oversight activities Meets Standards

- Has the Board been certified?
- * Has the Board hired or retained staff (executive director, chief financial officer, program director, contract management staff, monitoring staff) to oversee its contractors?

(3) Selects and oversees local contractors to improve the delivery of workforce services Meets Standards

- Does the Board have an effective formal procurement process, and has the Board been following this process?
- Does the Board have a certified monitoring function in place to oversee its contractors?
- Does the Board have any disallowed costs exceeding 1 percent of allocation (non-self-reported)?
- Has the Board repaid funds exceeding 1 percent of allocation (other than voluntary deobligations) at contract close out?

(4) Oversees and improves the operations of Board-served Workforce Solutions Offices Meets Standards

- Does the Board have certified Workforce Solutions Offices?
- Can the Board provide documentation demonstrating that it has policies in place to address service improvement, such as the initiation of performance improvement or service improvement plans when performance issues are identified with the contractor?
- Has the Board applied its service improvement policy when necessary?

(5) Manages contractors' performance across multiple Board programs Meets Standards

- Did the Board meet target on at least 80 percent of its contracted performance measures?
- Is the Board within 35 percent of target on all contracted performance measures?
- Does the Board hold performance oversight meetings, do performance reviews, or during its regularly scheduled meetings include a review of its status on contracted performance measures at least four times throughout the year?

(6) Identifies and resolves long-standing Board oversight problems and contractor performance problems Meets Standards

- Did the Board miss target on the same performance measure two years in a row?
- Does the Board have any unresolved material weaknesses discovered through a single audit?
- Has the Board been placed on an Intent to Sanction or Sanction?

Community Impact Statement

A written statement from the Board summarizing its impact on and relationship with the local community and economy. Specify how the Board's efforts demonstrate and contribute to an effective workforce system by engaging employers, helping workers find jobs, and ensuring good fiscal stewardship.

- Meets Standards
- X Below Standards
- * Substantiated by Board Attestation